



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

in Re the Application of:

ANDREW F. GLEW, ET AL.

Application No.: 10/039,595

Filed: December 31, 2001

For: Authenticated Code Module

Art Group: 2131

Examiner: Unassigned

RECEIVED

MAY 0 1 2003

Technology Center 2100

## INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

Commissioner for Patents Washington, D.C. 20231

Sir:

In accordance with the duty of disclosure, enclosed is a copy of Information Disclosure Statement by Applicant (form PTO/SB/08), which is being submitted before the mailing of a first Office Action. It is respectfully requested that the cited references be considered and that the enclosed copy of PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s). Copies of the references cited on PTO/SB/08 are enclosed herewith.

The submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made in the subject application and is not to be construed as an admission that the information cited in this statement is material to patentability.

Applicant has concurrently submitted an electronic Information Disclosure Statement(s). Any fees that are required for submission of an Information Disclosure Statement were included in the electronic submission. Applicant respectfully requests that one submitted fee be accepted for the second and any subsequent Information Disclosure Statement submissions.

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Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Date: April 25, 2003

Wittiam W. Schaal, Reg. No. 39,018

12400 Wilshire Blvd., 7th Floor Los Angeles, California 90025 (714) 557-3800

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class mail with sufficient postage in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on:

April 25, 2003

04-23-03

Corrinn R. Reynolds

Date

To a second									
Substit	ute for F	orm 1449A/PT	O (Modified)			Comple	te if Known		
INFORMATION DISCLOSURE					Application Number		10/039,595		
STATEMENT BY APPLICANT  (850) as many sheets as necessary)					Filing Date		12/31/2001		
/3	15A 74E	MENT B	BY APP	LICANT	First Named Inventor: Group Art Unit		Glew, et al. 2131		
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APR	2 8 2003	<u> </u>			Examiner Name		Unassigned		
Sheet	1	Š.	of	1	Attorney Docket Number	er	042390.P13	042390.P13735	
AVE	T™			IIS PATE	NT DOCUMENTS				
Exam.	Cite	U.S. Patent	Document	Name of Pa	tentee or Applicant		Publication of	Pages, Columns, Lines,	
Initial*	No.¹	Number Code <sup>2</sup>	Kind (If known)	of Cite	ed Document		Document DD-YYYY	Where Relevant Passages or Relevant Figures Appear	
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					FOREIGN PATENT DOCUMEN	NTS	<b>A</b>	
Exam. Initial*	Cite No. <sup>1</sup>	Foreig Office <sup>3</sup>	gn Patent Document Number <sup>4</sup> Kind Co (If kno	ode⁵	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T⁵
		V	/O 97/29567		Gressel			
		V	/O 01/75565		Ellison			
		N	/O 01/75595		Ellison			
		N	/O 01/27723A		Pearson			
		N	/O 01/27821A		Pearson			

Examiner	Date Considered	
Signature		

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<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup>Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard S.3). <sup>4</sup>For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

Approved for use through 10/31/2002. OMB 0651-0031
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL FORM	Application No.	10/039,595	12	
TRANSMITTAL FORM	Filing Date	December 31, 2001 Andrew F. Glew		
(to be used for all correspondence after initial filing)	First Named Inventor			
	Group Art Unit	2131		
	Examiner Name	Unassigned		
Total Number of Pages in This Submission 11	Attorney Docket Number	42390P13735		

ENCLOSURES (check all that apply)							
Fee Transmittal Form	Assignment Papers (for an Application)	After Allowance Communication to Group					
Fee Attached	Drawing(s)	Appeal Communication to Board of Appeals and Interferences					
Amendment / Response	Licensing-related Papers	Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)					
After Final Affidavits/declaration(s)	Petition	Proprietary Information					
Extension of Time Request	Petition to Convert a Provisional Application	Status Letter					
Express Abandonment Request	Power of Attorney, Revocation Change of Correspondence Address	Other Enclosure(s) (please identify below):					
Information Disclosure Statement	Terminal Disclaimer	5 Cited References					
PTO/SB/08	Request for Refund						
Certified Copy of Priority Document(s)	CD, Number of CD(s)	BECEIVED					
Response to Missing Parts/ Incomplete Application	Remarks						
Basic Filing Fee Declaration/POA		MAY 0 1 2003					
		Technology Center 2100					
Response to Missing Parts under 37 CFR 1.52 or 1.53		,					
SIGNATU	RE OF APPLICANT, ATTORNEY, OR AG	ENT					
Firm William W. S	chaal, Reg. No. 39,018	-					
	SOKOLOFF, TAYLOR & ZAFMA	AN LLP					
Signature	7/						
Date April 25, 20	03						
CERTIFICATE OF MAILING/TRANSMISSION							
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Typed or printed name   Corrinn R. Reynolds							
Signature	L' Kenneld 1	Date April 25, 2003					

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04/25/03

PTO/SB/17 (01-03)

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U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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TTAI	C	Complete if Known			
	Application Number	10/039,595			
3	Filing Date	December 31, 2001 Andrew F. Glew			
annual revision.	First Named Inventor				
ee 37 CFR 1.27.	Examiner Name	Unassigned			
	Group/Art Unit	2131			
(\$)	Attorney Docket No. 42390P13735				
	TTAL 3 annual revision. ee 37 CFR 1.27. (\$)	Filing Date First Named Inventor ee 37 CFR 1.27.  Group/Art Unit	Filing Date December 31, 2001 First Named Inventor Andrew F. Glew ee 37 CFR 1.27.  Examiner Name Unassigned Group/Art Unit 2131		

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Deposit Account	Large	Entity	Sma	II Entity	<u>'</u>		
Deposit		Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
Account Number 02-2666		1051	130	2051	65	Surcharge - late filing fee or oath	
Deposit		1051	50	2052	25	Surcharge - late provisional filing fee or	<u>                                     </u>
Account Name Blakely, Sokoloff, Taylor & 2	Zafman LLP					cover sheet.	
The Commissioner is authorized to: ( check all that apply)		2053 1812	130 2,520	2053 1812	130 2,520	Non-English specification  For filing a request for ex parte reexamination	ECEIVE
	overnavments	1804	920 *	1804	920 '		AV 0 1 200
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	10, 1.17, 1.16 and 1.20.	1805	1,840°	1805	1,840	Requesting publication of SIR after Examiner action Tochin	ology Center
Charge fee(s) indicated below, except for the filling fee to the above-identified deposit account		1251	110	2251	55	Extension for reply within first month	Ology Center
FEE CALCULATION		1252	410	2252	205	Extension for reply within second month	
1. BASIC FILING FEE		1253	930	2253	465	Extension for reply within third month	
Large Entity Small Entity		1254	1,450	2254	725	Extension for reply within fourth month	
Fee Fee Fee Fee <u>Fee Description</u> Code (\$) Code (\$)	Fee Paid	1255	1,970	2255	985	Extension for reply within fifth month	
	<del></del>	1404	320	2401	160	Notice of Appeal	
1001 750 2001 375 Utility filing fee 1002 330 2002 165 Design filing fee		1402	320	2402	160	Filing a brief in support of an appeal	
1003 520 2003 260 Plant filing fee		1403	280	2403	140	Request for oral hearing	
1004 750 2004 375 Reissue filing fee		1451	1,510	2451	1,510	Petition to institute a public use proceeding	
1005 160 2005 80 Provisional filing fee		1452	110	2452	55	Petition to revive - unavoidable	
SUBTOTAL (1)	<u> </u>	1453	1,300	2453	650	Petition to revive - unintentional	
305/01/22(1)	<u>,                                     </u>	1501	1,300	2501	650	Utility issue fee (or reissue)	
2. EXTRA CLAIM FEES Extra Feet		1502	470	2502	235	Design issue fee	
Otal Claims bel	w Fee Paid	1503	630	2503	315	Plant issue fee	
ndependent - 20 = X	[]	1460	130	2460	130	Petitions to the Commissioner	
Claims X	-	1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
Multiple Dependent	=	1806	180	1806	180	Submission of Information Disclosure Stmt	
Fee Fee Fee Fee Description		8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
Code (5) Code (5)		1809	750	1809	375	Filing a submission after final rejection (37 CFR § 1.129(a))	
1202 18 2202 9 Claims in excess of 20 1201 84 2201 42 Independent claims in ex	cess of 3	1810	750	2810	375	For each additional invention to be	
1203 280 2203 140 Multiple Dependent claim	n, if not paid	4004	750	2024	275	examined (37 CFR § 1.129(b))  Request for Continued Examination (RCE)	
1204 84 2204 42 **Reissue independent c	aims over original	1801	750	2801		Request for expedited examination	
1205 18 2205 9 **Reissue daims in exce	ss of 20 and over	1802 Other fee	900 e (specify)	1802	900	of a design application	
original patent							
SUBTOTAL (2) (S	)	*Reduced	by Basic Filin	n Fee Pai	1	SUBTOTAL (3) (\$)	
**or number previously paid, if greater, For Reissue	s, see below		-,			355.017.2(3)	
SUBMITTED BY						Complete (if ap	plicable)
Name (Print/Type) William-W Schaal	Name (Print/Type) William-W. Schaat Registration No. (Attorney/Apent) 39,018 Telephone (714) 557-3800						

This collection of information is required by 3 PEPK 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentially is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is required to take 12 minutes to complete, including gathering, preparing, and submitting the completed application to the USPTO. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

Signature